

Sir:

10/27/00

under Rule 1.53(f)

Transmitted herewith for filing is the Patent Application/of:

Inventors: Kai Cieliebak and Beat Liver

For: Portfolio Theory Method of Managing Operational Risk with Respect to  
Network service-Level Agreements  
Enclosed are:

☒ 12 (twelve) Sheets of Informal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a \_\_\_\_\_ application.

☒ Unsigned Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.00
TOTAL CLAIMS	29 - 20 =	9	9 \$ 18 =	\$ 162.00
INDEP CLAIMS	8 - 3 =	5	5 \$ 78 =	\$ 390.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$ 260 =	\$ 260.00
			TOTAL	\$ 1522.00

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☒ Please charge my Deposit Account No. 09-0468 in the amount of \$ 1522.00.

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☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By David M. Shofi  
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IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
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Express Mail Label: EL549238338US  
Date of Deposit: October 27, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cieliebak et al.

Serial No.: To be assigned

Filed: Herewith

For: PORTFOLIO THEORY METHOD OF MANAGING  
OPERATIONAL RISK WITH RESPECT TO NETWORK  
SERVICE-LEVEL AGREEMENTS

Docket No.: CH919990038US1

Group No.:

Examiner:



Commissioner of Patent and Trademarks  
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

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Date of Deposit October 27, 2000

I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under Rule 1.53(f) -Original and copy  
Patent Application  
Declaration and Power of Attorney for Patent Application **unsigned**  
12 (twelve) Sheets Informal Drawings  
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Margaret McCabe

(Name)

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Signature

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